

# Improving Both Legacy and New HPLC Methods with Superficially Porous Particle Columns

Stephanie A. Schuster, Ph.D. Advanced Materials Technology, Inc. Wilmington, DE 19810 February 20, 2019

# Outline

- Advantages of Superficially Porous Particle (SPP) over Fully Porous Particle (FPP) Columns
- Method Transfer/Translation Considerations
- USP Modernization Efforts
  - Allowable changes to USP Methods
  - Case Studies: FPP to SPP
- New Method Development
- Conclusion/Summary

## **Superficially Porous Particles**



Shell with 90 Å pores

#### Solid silica core

- Porous silica shell
- Shell thickness and pore size are tightly controlled

### Fully Porous Particle (FPP)



### HALO 90 Å, 2.7 μm



# Advantages of Superficially Porous Particle Columns vs. FPP Columns

- SPP columns provide faster separations and sharper, more efficient peaks compared to FPP columns without the need for higher pressure or extra operator training
- SPP columns have ½ to 1/3 the back pressure of sub-2-μm FPP columns
  - Enables use of legacy HPLC instruments with 400-600 bar limits
  - Permits faster flow rates/increased throughput
- SPP columns use 2-μm inlet frits that are less subject to pluggage than the 0.2 or 0.5-μm frits needed with sub-2-μm columns

## van Deemter Comparisons: SPP vs. FPP



#### **Effect of Particle Size and Type**

Columns: 50 x 4.6 mm, Non-core C18, 5 μm; Non-core C18, 3.5 μm; Non-core C18, 1.8 μm; HALO C18, 2.7 μm

Solute: naphthalene Mobile phase: 60% ACN/40% water 24 °C



#### van Deemter Equation

- H = height equivalent to theoretical plate
- A = eddy diffusion term **30 40% smaller**
- B = longitudinal diffusion term 25 30% smaller
- C = resistance to mass transfer term
- $\mu$  = mobile phase linear velocity (L/t<sub>0</sub>)

## Method Transfer vs. Method Translation

### • Method transfer

- Move method from one column brand and particle size to another
- Implement method in a different laboratory, different company or country
- Method translation
  - Move method from one particle size and/or column geometry to another with the same column brand
  - Move same column geometry and particle size to a different instrument brand ( $\Delta$  delay volume, dispersion, etc.)

### Typical Scenarios

- Transfer an HPLC method to a UHPLC column and system
  - e.g., FPP or SPP column to UHPLC SPP column
- Translate a UHPLC method to an HPLC column and system
  - e.g., from R&D to QC
- Direct implementation of an existing method
  - Only extracolumn volume, dispersion, delay volume and system max. pressure considerations

# Questions to Ask Method Transfer and Translation

- What is the goal of transferring or translating the method?
  - Increased speed, improved resolution, increased sensitivity
- Can the new instrument handle the pressure that the proposed new column will generate?
- Can you meet or exceed the original column's efficiency using the new instrument?
- Does the new instrument have low enough extracolumn dispersion to allow the required efficiency or can the system be optimized to minimize extracolumn dispersion?
- Can the new instrument deliver the correct column temperature to match that of the original instrument?
  - How do the setpoint temperatures compare vs. actual temperatures for the instrument(s)?

# Important Method and Instrumental Parameters to Consider for Method Transfer and Translation

#### **Isocratic Methods**

- Maximum Instrument Pressure
  - Practical maximum operating pressure usually 75–80% of instrument maximum
- Extracolumn volume
  - Tubing
    - ID and Length
    - Homogeneous or heterogeneous IDs in sample flow path
  - Flow cell volume and path length
  - Injection volume
  - Injector type
    - Flow through needle vs. loop fill
- Extracolumn dispersion
  - Function of flow rate
  - Data Rate and Response Time
  - Instrument type
- Column Heater Type and calibration
  - Forced air, block/contact heater, heat tape wrap, etc.
  - Actual temperature vs. set point
- Frictional Heating
  - Effects on efficiency, peak width and selectivity

#### **Gradient Methods**

- Same as for isocratic methods, except:
  - Less impact on "efficiency" and peak capacity from precolumn tubing dispersion
- Delay volume (aka dwell volume)
  - High pressure mixing
    - Mixer volume
  - Low pressure mixing
  - Often a function of backpressure
    - $\infty$  column length
    - $\infty$  flow rate
    - $\propto$  1/particle size, d<sub>p</sub>

## Instrument Optimization

### Connections – minimize volume

- Connection from column to flow cell is more important than from autosampler to column
- Use a smaller volume pre-column heat exchanger (1.6 μL, if necessary)
- Data acquisition appropriate to peak width
  - Increase the detector time constant to monitor fast-eluting peaks
  - Data rate should be at least 20 Hz for best results

## Effect of Data Acquisition Rate on Efficiency



## Instrument Optimization

- Type of pumps and mixing
  - Quaternary vs. Binary
  - Convergence block vs. Mixer
- Volume of flow cell
  - Use a smaller volume (250 nL-5 μL)
- Injection type
  - Needle in flow (adds volume, but improved carryover) vs. fixed loop (minimizes volume, but carryover could be problematic, depending on the compound)
- Injection volume
  - Use smallest practical injection volume keeping in mind the precision of the autosampler
- Sample Solvent
  - Keep volume small as practical if stronger than (starting) mobile phase, and matched or weaker than mobile phase for improved sensitivity/LOD/LOQ
  - Use "POISE" with aqueous or weak "chaser"

## Effect of Reducing Flow Cell and Tubing Volume





Tubing pre-column 0.009" ID standard Tubing post-column 100 μm x 300 mm Flow Cell: 2.6 μL HPLC (standard configuration) Isocratic Separations with HALO C18, 4.6 x 50 mm, 2.7 μm

Mobile phase: Flow rate: Column temp.: Injection vol.: Data rate: Time Constant: Response Time: N = USP Plates 50:50 ACN/water 1.0 mL/min. 30°C 1 μL 10 Hz 0.1 sec. 0.227 sec.

30% average increase in plates is observed by reducing the excess volume in the system!

Compounds in elution order: uracil, benzyl alcohol, benzonitrile, nitrobenzene, anisole, 1-chloro-4-nitrobenzene, and toluene

## **USP Modernization Efforts**

- USP is in the process of modernizing existing monographs to use current U/HPLC columns
- Combined effort between USP and several partners in industry
- Harmonization among USP, EP, and JP

# Review of Allowable Changes to Methods USP <621> Guidelines

- Changes to USP Methods are only allowed for isocratic separations\*
- Particle size and/or the length of the column
  - Ratio of the column length (L) to the particle size (dp) is the same or in range between -25% to +50% of the prescribed L/dp ratio.
  - Alternatively (as for the application of particle-size adjustment to superficially porous particles), other combinations of L and dp can be used provided that the number of theoretical plates (N) is within -25% to +50%, relative to the prescribed column.
- Bonded phase may not be changed
- Temperature may be adjusted ± 10 °C
- Flow rate may be adjusted ± 50%

\*Harmonization efforts between USP, the European Pharmacopeia (Phr. Eur) and the Japanese Pharmacopeia (JP) are working to also allow changes to gradient methods

CASE STUDIES: EXAMPLES OF LEGACY FPP SEPARATIONS TRANSFERRED TO SPP COLUMNS

# Case Study: Isocratic NSAIDs Separation from 5 $\mu$ m FPP to 5 $\mu$ m SPP

#### > 60% improved efficiency and about one-half separation time using Fused-Core particles.



# Case Study: Gradient Steroids Separation from 5 $\mu m$ FPP to 5 $\mu m$ SPP



# Case Study: Isocratic Fat Soluble Vitamins Separation from 3 $\mu$ m FPP to 2.7 $\mu$ m SPP

Sharper peaks and increased resolution with the C30 Fused-Core column!



Isocratic: 100% Methanol Wavelength: 280nm Injection: 2 µl Temperature: 30°C Flow Rate: 1.5 mL/min. Columns: 4.6 x 150 mm

#### **PEAK IDENTITIES:**

- 1. Retinyl acetate (A)
- 2. Delta tocopherol (E)
- 3. Ergocalciferol (D2)
- 4. Cholecalciferol (D3)
- 5. Alpha tocopherol (E)
- 6. DL-alpha-tocopherol acetate (E)
- 7. 2,3-trans-phylloquinone (K)

# Optimized Fused-Core Separation Yields up to 6-fold Increase in Throughput



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# Case Study: Isocratic Phenolic Acids Separation from 5 $\mu$ m FPP to 2 $\mu$ m SPP



Sample components: homovanillic acid, caffeic acid, syringic acid, vanillic acid, chlorogenic acid, sinapic acid, ferulic acid, p-coumaric acid, trans-cinnamic acid, resveratrol

# Case Study: Gradient Phenolic Acids Separation from 5 $\mu$ m FPP to 2 $\mu$ m SPP



Sample components (in order): homovanillic acid, caffeic acid, syringic acid, vanillic acid, chlorogenic acid, sinapic acid, ferulic acid, 21 *p*-coumaric acid, *trans*-cinnamic acid, resveratrol

# NEW HPLC METHOD DEVELOPMENT WITH SPP COLUMNS

## **New Method Development**

- Depends on complexity of sample
- Gradient approach is best when you don't know how many components etc.
- Single screening gradient on one phase can give you a quick look at sample
- Use of multiple phases and conditions can help you select best combo(s) to move forward

## HALO Phases for Reversed-Phase HPLC and UHPLC

Packing Description	Bonded Phase	Types of Interactions		
C18	C18 (dimethyloctadecylsilane)	Hydrophobic		
C8	C8 (dimethyloctylsilane)	Hydrophobic		
Phenyl-Hexyl	Phenyl-Hexyl (dimethylphenylhexylsilane)	<ul> <li>Hydrophobic</li> <li>π - π</li> </ul>		
ES-CN	ES-CN (di-isopropylcyanopropylsilane)	<ul><li>Hydrophobic</li><li>Dipole-dipole</li></ul>		
PFP	PFP (pentafluorophenylpropylsilane)	<ul> <li>Hydrophobic</li> <li>π - π</li> <li>Dipole-dipole</li> <li>Hydrogen bonding</li> </ul>		
RP-Amide	C16 Amide	Hydrophobic		
AQ-C18	proprietary	Hydrophobic		
Biphenyl	Biphenyl (dimethylbiphenylsilane)	<ul> <li>Hydrophobic</li> <li>π – π</li> </ul>		
C30	C30 (Triacontyldimethylsilane)	Hydrophobic		

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# Contrived, Complex, Blindly-prepared Mixture 13-20 compounds: Acids, Bases and Neutrals

#### **Strategy**

- Screened four HALO phases
  - C18
  - Phenyl-Hexyl
  - ES-CN
  - RP-Amide
- Different organic modifiers
  - CH<sub>3</sub>CN, CH<sub>3</sub>OH
- Different pHs with LC-MS compatible buffers
  - pH 2.8, 3.8 (NH<sub>4</sub>COOH)
  - 4.8 and 5.8 (NH<sub>4</sub>OAc)
- Identify one or more possible combinations for further improvement/optimization

Columns: 3 x 50 mm, 2.7 μm Flow Rate: 0.6 mL/min Temperature: 30 °C Gradient: 2–90% organic/buffer Gradient Time: 10 min Initial Hold: 1 min

Agilent 1200 binary 600 bar system

- Delay volume: 0.74 mL (from DryLab runs)
- Hold 1 min at 2% B initial x 0.6 mL/min = 0.6 mL
- Effective delay volume: 1.34 mL

Time	%B	Phases	4	
0	2	Modifiers	2	
1	2	pHs	4	
11	90	# injections	2	
12	90	Total Runs	64	1
12.5	2			
5	Post Time	Ser F. J. K		
	1 6 12 54	Stor Rut		
17.5	min	Total Time	5 1120	min
		Total hrs	18.7	hr









# **Application Example**

- Evaluation of 5 HALO phases with 12  $\beta$ -blockers
- 2.1 x 100 mm columns
- Gradient with ACN/0.1% TFA

# Screening β-blockers with 5 different stationary phases

 $2.7~\mu m$  HALO, phases as indicated, 2.1~x~100~mm SPP columns

 $1\,\mu\text{L}$  , 0.50 mL/min, 35 °C, 220 nm

Gradient from 10-50%  $CH_3CN$ /water/0.1% TFA in 10 min



Sample contains atenolol, sotalol, nadolol, pindolol, acebutolol, metoprolol, oxprenolol, labetalol, bisoprolol, propranolol, alprenolol, carvedilol

# Screening β-blockers: C18 compared to Biphenyl

In addition to particle technology, the available phase chemistries enable faster and more comprehensive method development



#### Peak Identities:

- 1. Atenolol
- 2. Sotalol
- 3. Nadolol
- 4. Pindolol
- 5. Acebutolol
- 6. Metoprolol
- 7. Oxprenolol
- 8. Bisoprolol
- 9. Labetalol
- 10. Propranolol
- 11. Alprenolol
- 12. Carvedilol

2.7  $\mu$ m HALO, phases as indicated, 2.1 x 100 mm SPP columns 1  $\mu$ L , 0.50 mL/min, 35 °C, 220 nm Gradient from 10-50% CH<sub>3</sub>CN/water/0.1% TFA in 10 min

## **Summary and Conclusions**

- Use of Fused-Core columns to modernize legacy methods enables faster separations, equivalent or better efficiencies, and increased resolution
- Use of different column selectivities, with different organic modifiers and pHs, can be an effective approach for ensuring:
  - all sample components can be "seen" and,
  - acceptable combination(s) of column/modifier/pH can be found
- For moderately complex and very complex samples, it can be effective to screen different stationary phase types, organic modifiers and pHs to identify a promising combination for further refinement or optimization
  - Related substance methods
  - Multiple active ingredient drug products (OTCs)
  - Impurity profiles
  - Forensic analyses
  - Environmental samples
- Short, efficient, narrow-ID Fused-Core columns allow faster screening of various combinations of conditions and faster answers to (U)HPLC challenges

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## **Pressure Estimation**

To estimate pressure for a given column length and particle size, you need to know the following:

- Flow rate (linear velocity)
- Column porosity (to calculate linear velocity)
- Column temperature
- Mobile phase viscosity as f(T)
  - There are tables available for binary mixtures of ACN and MeOH with water
  - Tables for ternary mixtures (ACN, MeOH, water) or for binary mixtures of other solvents such as IPA, ethanol or THF with water are much harder to find.
- Column Permeability (flow resistance parameter) is the most difficult to estimate
- If you have a column for a given product, you can estimate the permeability (flow resistance parameter) from the QC test conditions and reported pressure.

#### **Example**

#### HALO 2 $\mu m$ , 2.1 x 150 mm

- Mobile Phase A: ammonium formate, 10 mM, pH 3.7
- Mobile Phase B: CH<sub>3</sub>CN
- Mobile phase composition: 50% B
- Flow Rate: 0.5 mL/min
- Temperature: 50 °C
- Viscosity, η: 0.51 cP
- Porosity: 0.506
- V<sub>M</sub> = π x ID<sup>2</sup> x L/(4 x 1000) = 0.263 mL
- $t_0 = 0.263/0.5 = 0.526$  min
- μ (mm/sec) = 150 mm/(0.526 x 60 sec/min) = 4.75 mm/sec
- $\Phi$   $\,$  Flow resistance parameter estimated at 600  $\,$

$$\Delta P = \frac{\Phi \times \eta \times \mu \times L}{100 \times (d_p)^2}$$

$$\Delta P = \frac{600 \times 0.51 \times 4.75 \times 150}{100 \times 2.0^2} = 545 \text{ bar}$$

# Efficiency Measurement or Theoretical Efficiency Estimation

- Theoretical plates, N = L/(d<sub>p</sub> x h)
- Column QC test report provides N and flow rate, but not dispersion of instrument used
- Conservative estimates of h for SPP particles
  - 2μm
    - 2.1 mm, 1.7
    - 3.0 mm, 1.6
  - **2.7 μm** 
    - 2.1 mm, 1.7
    - 3.0 mm, 1.6
    - 4.6 mm, 1.4
  - 5 μm
    - 2.1 mm, 1.7
    - 3.0 mm, 1.3
    - 4.6 mm, 1.3

#### • TPP Particles

- 1.7 and 1.8  $\mu m:~h\approx 1.8{-}2.8$
- **3 \mum:** h  $\approx$  2.2–2.3
- **5 μm:** h ≈ 2.3–2.5
- Reduced plate height (*h*) varies with column diameter (4.6 < 3.0 < 2.1 mm ID)</li>
- Easier to pack larger particles and larger ID columns to give higher N and lower *h* values

#### **Some Examples**

HALO 5 μm, 3 x 150 mm

• N ≈ 150 mm x 1000\*/(1.3 x 4.6) ≈ 25,080

#### HALO 2 μm, 3 x 150 mm

 $N \approx 150 \text{ mm x } 1000^*/(1.7 \text{ x 2}) \approx 44,120$ 

#### HALO 2.7 μm, 4.6 x 250 mm

 $N \approx 250 \text{ mm x } 1000^* / (1.4 \text{ x } 2.7) \approx 66,140!$ 

\*1000 µm/mm



## Guiochon-Gritti Approach for Estimating Extracolumn Dispersion

$$\sigma_{obs}^{2} = \sigma_{ec}^{2} + \sigma_{col}^{2} = \sigma_{ec}^{2} + \left(\frac{V_{0}^{2}}{N_{theoretical}}\right)(1+k)^{2}$$

$$H_{obs}(k) = H_{theoretical} + L\left(\frac{\sigma_{ec}^2}{V_0^2}\right)\left(\frac{1}{(1+k)^2}\right)$$

Slope = 
$$L\left(\frac{\sigma^2_{ec}}{V_0^2}\right)$$
,  $\sigma^2_{ec} = \frac{V_0^2(mm^3) \times slope}{L(mm)}$ 

- 1. Chromatograph the mixture of homologs (plus uracil as t<sub>0</sub> marker) at the desired flow rate and linear velocity.
- 2. Obtain a performance report that shows plate count for each peak at half height
- Plot the observed plate height in microns for each peak vs. 1/(1+k)<sup>2</sup>.
- 4. Note where the plot curves and include only those points from the first analyte forward.
- 5. Usually curvature occurs at or just before point for maximum plates vs. k is reached.

Accurate measurements of the true column efficiency and of the instrument band broadening contributions in the presence of a chromatographic column

Journal of Chromatography A, 1327 (2014) 49– 56 Fabrice Gritti, Georges Guiochon

#### Example for 2.1 x 100 mm, 2 $\mu m$ SPP column

(0.5 µL injection, 0.4 mL/min with 50:50 CH3CN/water, 30 °C)

Analyte	Plates	RT	k	1/(1 + k) <sup>2</sup>	H (k)	h	% Max Plates
acetophenone	8118	1.024	1.18	0.2101	12.3183	6.1592	32%
propiophenone	11693	1.349	1.87	0.1210	8.5521	4.2761	45%
butyrophenone	16398	1.828	2.90	0.0659	6.0983	3.0492	64%
valerophenone	21408	2.632	4.61	0.0318	4.6712	2.3356	83%
hexanophenone	25054	4.000	7.52	0.0138	3.9914	1.9957	97%
heptanophenone	25738	6.295	12.41	0.0056	3.8853	1.9427	100%
octanophenone	24346	10.132	20.59	0.0021	4.1075	2.0537	95%



## Estimating Gradient Delay Volume (aka Dwell Volume)

#### **Acetone Tracer Approach**

- Install ZDV union in place of column
- A solvent: water
- B solvent: 0.1% (v/v) acetone in water
- Set a 0.5 or 1.0 min hold at start (0% B) to provide a flat portion initially
- Use a 10 min gradient time with hold for 5 min at %B final
- Flow Rates
  - 1 mL/min flow rate for 4.6 mm ID columns
  - 0.4 mL/min for 3 mm ID column
  - 0.2 or 0.25 mL/min for 2 mm ID columns



Note: If you use a 0.5 or 1.0 minute hold, remember to "back out" that portion of the calculated t<sub>D</sub> and thus V<sub>D</sub>

#### **DryLab Software Approach**

- 1. Sample: mixture of alkylphenones
- 2. Column: desired column
- 3. Flow rate: typical flow rate for column ID
- 4. Carry out 3 gradients (e.g., 5, 10 and 15 min) from 5 to 100% organic/water at the desired flow rate with column of interest.
- 5. Input 5 min and 10 min gradient data (RTs and PWs) into DryLab and vary dwell volume setting to obtain predicted RTs for 15 min run using those dwell volumes.
- 6. Find the delay volume setting that minimizes the error in RT for all peaks for predicted vs. actual 15 min run.
- 7. Estimate the dwell volume that minimizes the sum of the RT error differences by interpolation.
- 8. Input chromatograms into DryLab as CDF files or put retention times and peak widths into Excel table and paste into DryLab.
- 9. Note: a Microsoft Excel spreadsheet for carrying out the calculations is available from the authors based on the Reference 1 below. Excel calculator available on request from authors
- 1. LC-GC Magazine, 1990, Vol. 8, Number 7, 524-537 "Reproducibility Problems in Gradient Elution Caused by Differing Equipment.
- 2. J Chromatogr A. 2014 Nov 21; 1369: 73-82.

"Measure Your Gradient": A New Way to Measure Gradients in High Performance Liquid Chromatography by Mass Spectrometric or Absorbance Detection

## Lamotrigine Case Study

- Lamotrigine used to treat seizures and control mood swings
- USP method for extended release tablets
  - $-4.6 \times 150 \text{ mm}$ , 3  $\mu \text{m}$  FPP C18 column
  - $L/dp = 150 mm / 3 \mu m = 50000$
  - --25% to +50% L/dp = 37,500 to 75,000
  - For a 2.7  $\mu$ m HALO column, L/dp = 150 mm / 2.7  $\mu$ m = 55,556



## van Deemter Comparisons: SPP of various sizes



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## How Should Experimental Results be Evaluated or Graded?



And so on for CH<sub>3</sub>OH and other pHs

Compare different phases with each modifier at the same pH And so on for CH<sub>3</sub>OH and other phases

Compare different pHs for same phase with each modifier separately



## Application of Multiple Phases for Stability Indicating Method Development

- Atorvastatin Calcium
   10 mg active/310 mg tablet
- Generate HCI-degraded and NaOHdegraded samples
- Pool acid- and base-treated samples together
- Compared five different HALO phases using both CH<sub>3</sub>CN and CH<sub>3</sub>OH at <u>one pH</u> (2.8, ammonium formate)
- Compared results and identified best option(s) for further development and optimization

- Again, used 3 x 50 mm, 2.7 μm HALO column geometry
- Initially screened C18 column using broad gradient with CH<sub>3</sub>CN
- Fine tuned to narrower ranges
- Compared all phases using narrower range using both CH<sub>3</sub>CN and CH<sub>3</sub>OH

# A Broad Range Gradient May Not Be as Useful When Screening More Complex Samples





# How Do You Choose Which Combination to Develop and Optimize Further?

- Compare chromatogram for number of peaks observed
- Compare shapes for all detected peaks
- Select phase/modifier combination(s)
  - # peaks separated
  - minimum critical R<sub>s</sub> for peak pair
  - shortest analysis time
  - most peaks with acceptable USP T<sub>f</sub>

- If no clear winning combination, carry out several gradients having differing slopes
  - For example, 50–75% in 10 minutes and 25 minutes for C18 and Phenyl-Hexyl
  - Assess whether either combination stands out vs. criteria
- Compare separation on longer column with higher efficiency

